ATTORNEY DOCKET NUMBER PTO-1390 TRANSMITTAL LETTER TO THE UNITED STATES 85994 **DESIGNATED/ELECTED OFFICE (DO/EO/US)** U.S. APPLICATION NO. (if known, see 37 CFR 1.5) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP2004/003455 April 1, 2004 May 5, 2003 TITLE OF INVENTION **OPTICAL COUPLING DEVICE AND METHOD** APPLICANT(S) FOR DO/EO/US Dickopf et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2. 😐 This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), 3. □ (6), (9) and (21) indicated below. Please do not delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). The US has been elected (Article 31). 4. ⊠ A copy of the International Application as filed (35 U.S.C. 371(c)(2)). 5. ⊠ is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). c. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). ੂ b. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). 7. 😐 are attached hereto (required only if not communicated by the International Bureau). a. have been communicated by the International Bureau. b. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (Unexecuted) 9. ⊠ 10. 😐 An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11. to 20. below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. 😐 12. 😐 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. ⊠ A Preliminary Amendment. (7 pages) 14. ⊠ An Application Data Sheet under 37 CFR 1.76. 15. 😐 A substitute specification. 16. □ A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. 18. □ A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. 😐 A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. ⊠ Other items or information: copy of publication WO2004/099762 A1, published November 18, 2004, in German, and a copy of International Search Report **CERTIFICATE OF MAILING BY "EXPRESS MAIL"** "Express Mail" Mailing Label Number_ EV 555210815 US Date of Deposit November 4, 2005 I hereby certify that this paper or fee is being deposited with sufficient postage utilizing the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450,

RICE (Typed or printed name of person mailing)

(Signature of person mailing)

Alexandria, VA 22313-1450.

U:S. AP	Sign of the state						ORNEY 0 85994	NEY DOCKET NUMBER 5994		
The following lees are submitted:									alculations	PTO use only
21. 🛮 Basic national fee								0 \$	300.00	
22. Examination fee If International Preliminary Examination Report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) \$100.00 All other situations \$200.00								00	200.00	
23. ■ Search fee Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as an International Searching Authority									400.00	
TOTAL OF 21, 22 and 23 =								\$	900.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 or fraction thereof (round up to whole number)								ng	7	
34	- 100 =	0 / 50		0.00	O O	×	\$250.00	 s	0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).									3.00	
	CLAIMS	NUMBER FIL			NUMBER EXTRA		RATE	\$		
Total	claims	20		- 20 =	0	х	\$50.00	\$	0.00	
Independent Claims 2				- 3 =	0	х	\$200.00	\$	0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360.00										
TOTAL OF ABOVE CALCULATIONS =								= \$	900.00	
□ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by half.								\$	450.00	
SUBTOTAL =								= \$	900.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).								\$		
TOTAL NATIONAL FEE =								= \$	900.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be										
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. TOTAL FEES ENCLOSED =								= \$ = \$	900.00	
TOTAL FEES ENCLOSED =								Ai	mount to	\$
								Ai	mount to	\$
a. t	a. A check in the amount of \$ to cover the above fees is enclosed.									
-										
b. <u>s</u>	Please charge my Deposit Account No. 06-1135 in the amount of \$_900.00 to cover the above fees. A duplicate copy of this sheet is enclosed. <i>(Trans. Nos. 25717; 25718 and 25719).</i>									
c. <u>s</u>	c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1135. A duplicate copy of this sheet is enclosed.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.										
SEND	SEND ALL CORRESPONDENCE TO:									
FITCH, EVEN, TABIN & FLANNERY 120 South LaSalle Street, Suite 1600 Chicago, IL 60603-3406 U.S.A. SIGNATURE SIGNATURE James P. Kruine										
Telephone: (312) 577-7000 Facsimile: (312) 577-7007 35,234 REGISTRATION NUMBE								MBER		